

# SEGUE SPECIAL SITUATIONS GROUP LLC PRIVACY POLICY

**It has always been and will continue to be our policy to not disclose anything about you to anyone without your permission unless required by law.**

## **General Guidelines**

All information shared with us by our clients will be safeguarded according to strict standards of security and confidentiality. We collect client information only as required to provide services to our clients. No information is shared with any other entity without permission.

## **Types of Nonpublic Personal Information We Collect**

We collect nonpublic personal information about you that is provided to us by you or obtained by us from third parties with your authorization.

## **Parties to Whom We Disclose Information**

For current and former clients, we do not disclose any nonpublic personal information obtained in the course of our practice except as required or permitted by law. Permitted disclosures include, for instance, providing information to our employees, and in limited situations, to unrelated third parties who need to know that information to assist us in providing services to you. In all such situations, we stress the confidential nature of information being shared.

## **Protecting the Confidentiality and Security of Current and Former Clients' Information**

We retain records relating to professional services that we provide so that we are better able to assist you with your professional needs and, in some cases, to comply with professional guidelines. In order to guard your nonpublic personal information, we maintain physical, electronic, and procedural safeguards that comply with our professional standards.

## **Outsourcing**

We do not intend to outsource our professional or support services to any other party, and we would not do so without your permission.

## **Opt Out Provision**

If at any time Segue Special Situations Group LLC enters into an arrangement with a third-party nonaffiliated entity that requests information other than that necessary to maintain and service the client's account, (such as mailing lists, promotional offers, or telemarketing lists) the client will be given the opportunity to opt out of sharing confidential, personal information over and above that necessary to maintain and service the account. Opt out choices will include:

- Limiting the personal information disclosed to nonaffiliated third parties.
- Removing the client's name from the mailing list, promotional offer list and/or telemarketing list

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Please call if you have any questions, because your privacy, our professional ethics, and the ability to provide you with quality financial services are very important to us.